



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Interim Patent Application of:	)	Confirmation No. 5514
Shunpei YAMAZAKI et al.	)	Examiner: Evan T. Pert
Application No. 09/502675	)	Group Art Unit: 2829
Filed: February 11, 2000	)	
For: SEMICONDUCTOR DEVICE AND METHOD	)	February 5, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Mail Stop **RCE**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 5, 2004.

Signature: Deborah Movahhedi  
Name: Deborah Movahhedi

INFORMATION DISCLOSURE STATEMENT

Mail Stop **RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

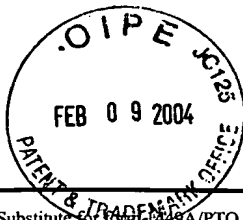
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/502,675	
			Filing Date	February 11, 2000	
			First Named Inventor	Shunpei YAMAZAKI et al.	
			Art Unit	2829	
			Examiner Name	Evan T. Pert	
Sheet		of		Attorney Docket Number	740756-2101

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-6,306,694	10-23-2001	YAMAZAKI et al.	
		US-6,355,942	03-12-2002	YAMAZAKI et al.	
		US-6,388,291	05-14-2002	ZHANG et al.	
		US-6,399,988	06-04-2002	YAMAZAKI	
		US-6,433,361	08-13-2002	ZHANG et al.	
		US-6,512,504	01-28-2003	YAMAUCHI et al.	
		US-6,524,895	02-25-2003	YAMAZAKI et al.	
		US-6,531,713	03-11-2003	YAMAZAKI	
		US-6,534,826	03-18-2003	YAMAZAKI	
		US-6,576,926	06-10-2003	YAMAZAKI et al.	
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.